

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**YOR920000598US1(13893)**

In Re Application Of: **Charles Tressler, et al.**

Serial No.  
**09/734,086**

Filing Date  
**December 11, 2000**

Examiner  
**Unknown**

Group Art Unit  
**2164**

Invention: **SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

February 1, 2001

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Response To Notice To File Corrected Application Papers**

**Two (2) sheets of Informal Drawings**

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☐ Completion of application fees as calculated below:

☐ Utility application filing fee \_\_\_\_\_

☐ Design application filing fee \_\_\_\_\_

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \_\_\_\_\_

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \_\_\_\_\_

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \_\_\_\_\_

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
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Invention: **SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of \_\_\_\_\_ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0510/IBM**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0510/IBM**  
A duplicate copy of this sheet is enclosed.

  
Signature

**John S. Sensny**  
**Registration No. 28,757**

**SCULLY, SCOTT, MUPHY & PRESSER**  
**400 Garden City Plaza**  
**Garden City, New York 11530**  
**(516)742-4343**

Dated: **April 2, 2001**

I certify that this document and fee is being deposited on  
**April 2, 2001** with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**Janet Grossman**

Typed or Printed Name of Person Mailing Correspondence

CC:



#3

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles Tressler, et al.

Examiner: Unknown

Serial No: 09/734,086

Art Unit: 2164

Filed: December 11, 2000

Docket: YOR920000598US1(13893)

For: SECURE ELECTRONIC STOCKS AND  
OTHER TITLES AND INSTRUMENTS

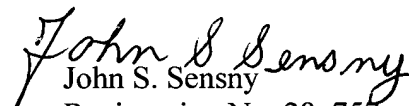
Dated: April 2, 2001

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice To File Corrected Application Papers, Applicant is  
submitting herewith substitute drawings having the margins set forth in 37 C.F.R.

Respectfully submitted,

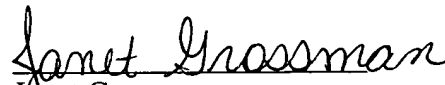
  
John S. Sensny  
Registration No. 28, 757Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
JSS:gc

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

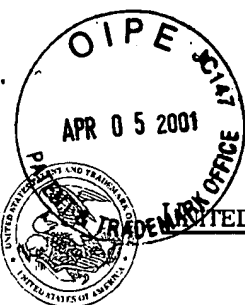
I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for  
Patents, Washington, D.C. 20231, on April 2, 2001.

Dated: April 2, 2001

  
Janet Grossman

0300

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,086	12/11/2000	Charles Tresser	YOR920000598US1(13893)

CONFIRMATION NO. 3901

## FORMALITIES LETTER



RICHARD L. CATANIA, ESQ  
SCULLY, SCOTT, MURPHY AND PRESSER  
400 Garden City Plaza  
Garden City, NY 11530

Date Mailed: 02/01/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE